



Homeland Security Investigations (HSI)

Homeland Security Investigations




- HSI is the investigative arm of Immigration and Customs Enforcement
- HSI is responsible for investigating the illegal movement of people, goods and finances into, within and out of the United States
- HSI is the largest investigative arm in DHS and second largest federal investigative agency in the U.S.



U.S. Immigration
and Customs
Enforcement

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Primary Export Licensing Agencies

<p><u>U.S. Dept. of State</u> Directorate of Defense Trade Controls (DDTC)</p>	<p><u>U.S. Dept. of Commerce</u> Bureau of Industry and Security (BIS)</p>	<p><u>U.S. Dept. of Treasury</u> Office of Foreign Assets Control (OFAC)</p>
 <ul style="list-style-type: none">• Regulates Export & Licensing of Single-Use Defense Articles (military and space applications)• Controlled Items List:<ul style="list-style-type: none">- U.S. Munitions List (USML)• Regulations Defined By:<ul style="list-style-type: none">• ITAR• Violations Enforced Under:<ul style="list-style-type: none">• AECA	 <ul style="list-style-type: none">• Regulates Export & Licensing of Dual-Use Commodities (Commercial & Military Applications)• Controlled Items List:<ul style="list-style-type: none">- Commerce Control List (CCL)• Regulations Defined By:<ul style="list-style-type: none">• EAR• Violations Enforced Under:<ul style="list-style-type: none">• EAA/IEEPA	 <ul style="list-style-type: none">• Regulates Licensing of Transactions involving sanctions & embargos• Comprehensive & Selective Regulations may apply to:<ul style="list-style-type: none">• Countries• Companies• Individuals• Regulations Defined By:<ul style="list-style-type: none">• Sanctions/Embargos• Violations Enforced Under:<ul style="list-style-type: none">• IEEPA

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Export Controls: The Basics

How Does Something Become Export Controlled?

Controlled Technology

Controlled items, services and technologies that are subject to regulations and found on a control list (CCL or USML)

Destination

Is destined for an embargoed location especially Cuba, Iran, N. Korea, Sudan and Syria

End Use

The end use includes weapons proliferation or involves knowledge of a violation

End User

The end user is a Specially Designated National or foreign military force



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SHIELD
America

Project Shield America (PSA)



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Project Shield America

Shipping Phase **Red Flags**

- Hand-carry of data or equipment
- Drop shipment to holding company or third country freight forwarder
- Inconsistencies across various documents
- Suspect end-user: transshipment location
- Inconsistent packaging or marking request
- Incorrect license designation
- Product does not match SED or license
- Manuals & documentation in wrong language
- Multiple shipments using single license number



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Export Compliance Due Diligence/Screening

Businesses should screen prospective customers, vendors, suppliers, sub-contractors, sales representatives, consultants, or any party to a proposed export/import transaction against the following lists:



Consolidated Screening List

- DOS Debarred Parties
- DOC Denied Persons List
- OFAC Specially Designated Nationals List

http://www.export.gov/ecr/eg_main_023148.asp.



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Project Shield America

**Immediately Report All Suspicious
Export Inquiries to HSI Officials at:**

1-866-DHS-2ICE



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